

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name:

Funding Requested:

Board Member Name:

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

- | | |
|--|------------------|
| Does the project fulfill an unmet need? | Yes_____ No_____ |
| Does this project have alternative sources of funding? | Yes_____ No_____ |
| If so, is there a reason why Headwaters Funding would be appropriate anyway? | Yes_____ No_____ |
| Does the organization appear to have the capacity and experience to implement the program? | Yes_____ No_____ |

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several)

<input type="checkbox"/>	Benefits the base or Targets of Opportunity industries
<input type="checkbox"/>	Develops strategies for economic development
<input type="checkbox"/>	Reduces regulatory bottlenecks for business retention or creation
<input type="checkbox"/>	Provides access to external markets or plugs the leaks
<input type="checkbox"/>	Retains and grows existing businesses
<input type="checkbox"/>	Provides workforce training
<input type="checkbox"/>	Creates economic development infrastructure
<input type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
<input type="checkbox"/>	Leverages future funding or projects
<input type="checkbox"/>	Increases prosperity by helping low-income individuals accumulate assets needed to make or earn a living
<input type="checkbox"/>	Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply)

<input type="checkbox"/>	Protects and/or improves the natural environment
<input type="checkbox"/>	Supports sustainable use of environmental resources
<input type="checkbox"/>	Utilizes environmental knowledge in the creation of jobs
<input type="checkbox"/>	Builds leadership skills
<input type="checkbox"/>	Revitalizes communities/infrastructure

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<input type="checkbox"/>	Builds public infrastructure
<input type="checkbox"/>	Improves public or pedestrian transportation
<input type="checkbox"/>	Utilizes existing buildings
<input type="checkbox"/>	Fits with community image and identity
<input type="checkbox"/>	Preserves heritage
<input type="checkbox"/>	Contributes to Humboldt County being a desirable place to live
<input type="checkbox"/>	Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available (check off boxes which apply)

<input type="checkbox"/>	Demonstrates cross-sector support (i.e. government/private or cross industry)
<input type="checkbox"/>	Ingredients for project success in place- research, planning, permitting
<input type="checkbox"/>	Project scope contains sufficient detail to define success
<input type="checkbox"/>	Visible private industry sector support (i.e. letter of support)
<input type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input type="checkbox"/>	Implementation vs preliminary phase (Implementation projects receive higher points than preliminary studies)
<input type="checkbox"/>	Timeliness of project and likelihood it will succeed
<input type="checkbox"/>	Diversity of funding partners and firmness of commitments
<input type="checkbox"/>	Project budget well thought out and reasonable
<input type="checkbox"/>	Degree of match funding (both committed and not, with higher points for committed)
<input type="checkbox"/>	Total Points - Design and Readiness and Fiscal

Grand Total for the Project

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Explanation of Rating Criteria

Economic Impact

A project can receive full points based on any one of the following outcomes, or could receive full points by providing benefit across several outcomes. Projects should only receive full points if they demonstrate support for the County's economic development strategy Prosperity! which focuses on developing the Targets of Opportunity or base industry clusters. Projects can support these clusters using any of the strategies listed below with an end goal of creating new at or above median wage jobs.

Points should be assigned based on the stated direct effect the project has on increasing the capacity of the base or Target industries. A project which provides direct support to a group of businesses in an industry which will allow them to increase sales outside of the county and then create jobs could receive full points. A project with a less clear outcome related to industry benefits should receive less points.

The final goal of any of the economic development strategies listed below is to create new jobs which are at or above the median wage level. A project should receive more points if it is able to directly correlate its implementation with a substantial number of new sustainable jobs. The base or Target industries are: Lumber and Wood Products, Fishing, Niche Manufacturing, Specialty Agriculture, Arts and Culture, Tourism, Information Technology, Education and Research, Dairy, and Diversified Health Care.

Develops Strategies for Economic Development

In this outcome points are assigned for projects involving developing economic development strategies.

Projects should be tied to leveraging funds for implementation. Higher points should be assigned for projects which can show a direct link from the planning phase to the implementation phase and have a feasible plan for securing implementation funding. Projects in support of planning for Target or Base Industries should receive more points.

Reducing Regulatory Bottlenecks

Projects which seek to build effective working relationships between businesses and regulatory agencies can receive points based on their direct link to either retaining businesses or simplifying the process for the establishment of new businesses.

Providing Access to External Markets or Plugging the Leaks

Projects can receive full points in this outcome if they demonstrate that they will help businesses with exporting sales out of the county. Projects can also receive full points if they can show that they will have an impact on redirecting consumer purchases from non local companies to local ones. Projects which can predict a concrete and substantial increase in sales for a group of businesses or industry should receive more points.

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Retaining and Growing Existing Businesses

A project could be assigned full points in this outcome if the applicant project will provide technical support to businesses which can be directly correlated to those businesses ability to grow and retain or create jobs. Technical support could be defined in terms of marketing, human resources, product development, or other expertise so that the businesses can increase sales.

Workforce Training

A project which receives full points in this outcome develops the workforce by providing job skill training in a Targets of Opportunity or base industry skill area. Job skill training could involve a focus on education either in the K-12 system, the post-secondary system, or in providing specialized classes or training related to job skills.

Economic Development Infrastructure

Projects which rate highly in this outcome provide for infrastructure which is directly related to business and job growth. An example of a project in this area was the grant for the Fisherman's Terminal in Eureka which will allow for additional fish processing facilities in addition to café and sales space.

Increases the number of new businesses through supporting entrepreneurship and innovation

A full point project will be able to demonstrate support for entrepreneurship which will directly lead to the creation of new businesses and new jobs.

Leverages future funding or projects

Projects which directly leverage funding from sources outside of the County can receive full points.

An example of a project which rated strongly in this area was a \$515,000 loan to the airport which provided the necessary matching funds to access \$8.7 million in federal grant funds for runway improvements.

Increases prosperity by helping low income individuals accumulate assets needed to make or earn a living

A project which rates highly in this outcome would be one that provides access to business funding and/or financing to low income individuals who would normally not be eligible for funding from traditional sources. An example of a project which would rate highly on these criteria is the Individual Development Account program which provides matching savings funds for people who are saving for equipment to start or grow a business.

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Impact on Environment and Quality of Life

Protects and/or improves the natural environment

A project can receive points for demonstrating that it is contributing to the protection or improvement of the natural environment. An example of a project which rated highly on this was the grant to develop a local Oak Woodlands conservation plan.

Supports sustainable use of environmental resources

A project receives points for demonstrating that it provides support for the sustainable use of environmental resources. An example of this would be the grant to the Yager Van Duzen Stewards to document their voluntary sediment reduction plan developed with local ranchers.

Utilizes environmental knowledge in the creation of jobs

Points are awarded for a project which demonstrates job creation by utilizing environmental knowledge. An application with full points in this category would create a large number of new sustainable jobs based on using environmental knowledge.

Builds leadership skills

Projects are supported which either focus on the development of leadership skills in youth or in developing managerial and leadership skills in the existing workforce. The Junior Achievement grant is an example of funding for a K-12 curriculum with the goal of building youth leadership skills.

Revitalizes communities/infrastructure

This applies to projects which seek to revitalize communities with a goal of economic development. An example of this would be the Garberville Town Square grant which allowed for the building of a square to be a central marketplace, outdoor entertainment venue and support the expansion of nearby businesses.

Builds public infrastructure

Points should be given for projects which allow for the building or renovation of basic public infrastructure - water, sewer, power etc. which leads to the development of healthy communities.

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Improves public or pedestrian transportation

This category applies to project which provide for the planning or implementation of public transportation with an emphasis on pedestrian walkways. An example of a grant in this category is the Trails Trust mini-grant to begin a Trail Stewards program for volunteers to maintain local trails.

Utilizes existing buildings

Projects receive points for new and innovative uses for under utilized buildings. An example of a grant in this category is the Mid Klamath Watershed Council grant to purchase the Panamnik Building in Orleans and convert it into a community and economic development hub.

Fits with community image and identity

Projects should be able to show that they are in alignment with the community identity either of Humboldt County as a whole or with the constituents they are working with

Preserves heritage

Projects receive points for demonstrating that they are contributing to preserving the cultural heritage of our County.

Contributes to Humboldt County being a desirable place to live

Projects in this category contribute to the general desirability of Humboldt County as a place to live in ways not listed above. This could be in terms of cultural, recreational or social opportunities.

Project Design and Readiness and Fiscal

A project which receives full points should have most of these boxes checked off.

Demonstrates cross-sector support (i.e. government/private or cross industry)

This box could be checked off if there is demonstrated support across sectors. This would be demonstrated by collaborative partners or letters of support.

Ingredients for project success in place- research, planning, permitting

Applicant should show that adequate planning has taken place in advance of submitting the application.

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Project scope contains sufficient detail to define success

Project should include measurable outcomes as part of its definition of success and demonstrate capacity on the part of the applicant to collect information and report on those outcomes.

Visible private industry sector support (i.e. letter of support)

Project must demonstrate the support of local private businesses.

Project is in a geographically underserved area or serves an underserved population

Projects receive more points for representing an underserved population or geographic area. Projects also receive more points for representing a population or geographic area which has not received Headwaters funding in the past.

Implementation vs preliminary phase (Implementation projects receive higher points than preliminary studies)

Projects which are applying for implementation funding should receive more points than projects in the planning phase.

Timeliness of project and likelihood it will succeed

Project must demonstrate that the timing is right, and the necessary ingredients for success are in place.

Diversity of funding partners and firmness of commitments

Project should demonstrate a wide range of committed funding.

Project budget well thought out and reasonable

Project budget should demonstrate strategic planning and be realistic in terms of project cost and expected revenue.

Degree of match funding (both committed and not, with higher points for committed)

More points are given for projects which have their funding in place over projects still seeking match.